

IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In Re the Application of :

Serial No.: 09 / 807,968  
Applicant: Gullickson et al.  
Title: Drive Shaft Coupling Device  
Filed: July 10, 2001  
Group Unit: 3679  
Docket: 115.9

By Fax: (703) 308-5077

Refund Office  
The Commissioner for Patents & Trademarks  
Alexandria, Virginia, U.S.A. 22313

Attention: Freda Connelly

REQUEST FOR REFUND

Further to my telephone discussion with Ms. Connelly this afternoon, I hereby request a refund of US\$ 465.00 to be applied as a credit to my Visa card (the number appears on enclosed form PTO-2038).

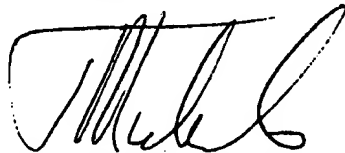
The basis for the request is as follows:

- A Reply to an Office Action was submitted to the USPTO for the above-noted application on March 6, 2003, along with a Petition for Extension of Time for 3 months. Small entity status was claimed, and authorization for payment of the required fee of US\$ 465.00 by Visa was given on form PTO-2038. A copy of the Petition (claiming small entity status), form PTO-2038 and the Fee Transmittal form (PTO/SB/17) are enclosed, clearly indicating authorization to charge US\$ 465.00.

- I subsequently noted from my Visa statement that a fee of US\$ 930.00 (the large entity rate) was mistakenly charged by the USPTO for this matter. A copy of the particulars of this Visa transaction is enclosed.

If anything further is needed, please contact me at (403) 540-3204.

Respectfully submitted,



By:

Thomas E. Malyszko, Reg. No. 39,466  
Date: June 24, 2003

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/807,968
Filing Date	07/10/2001
First Named Inventor	Gullickson et al.
Examiner Name	Gregory J. Binda
Group Art Unit	3679
Attorney Docket No.	115.9

TOTAL AMOUNT OF PAYMENT (\$) 465.00

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.18 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) **2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** = <input type="text"/>	X <input type="text"/>	<input type="text"/>
Multiple Dependent	-3** = <input type="text"/>	X <input type="text"/>	<input type="text"/>

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**


Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 820*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
118 400	216 200	Extension for reply within second month	
117 920	217 480	Extension for reply within third month	465.00
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 480	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) 

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 465.00

**SUBMITTED BY**

Name (Print/Type)	Thomas E. Malyszko	Registration No. (Attorney/Agent)	39,466	Complete (if applicable)	
Signature		Telephone	(403) 540-3204	Date	MARCH 6/2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# CIBC

CIBC Credit Card Services  
Services de Cartes de Cr dit CIBC

PO Box /CP 4058, STN/SUCC A  
Toronto, Ontario  
M5W 1L8

JUN. 13. 2003

TOM MALYSZKO  
819 81 ST SW  
CALGARY AB T3H4C6

Dear TOM MALYSZKO.

RE: CREDIT CARD NUMBER 4500-6201-2960-6010

Referring to your recent inquiry, we enclose a copy of the item you requested.

Thank you for allowing us to be of service to you, and if we can be of any other assistance, please let us know.

Sincerely,



Derek Taylor  
Director, Customer Support Services, CPD  
1-800-465-4653 (Canada & U.S.)  
514-861-4653 (Elsewhere call collect)  
1-800-897-0551 (Fax number)

014692600-DB1-L1000

\*\* 203000 10101 \*\*

*2nd Request seen*

Date 05/23/03

Dear Customer:

Banks that issue credit cards sometimes make requests for a COPY of a sales slip from merchants that accept credit cards as a form of payment. Under MasterCard/Visa rules and regulations, all sales drafts must be received by us on or before the required date.

IF THE DEADLINE IS MISSED, YOUR MERCHANT CHECKING ACCOUNT \*\*\* WILL BE DEBITED. \*\*\*

US PATENT TRADEMARK OFFICE  
ATTN: O JONES-SYSTEMS COPY  
2011 CRYSTAL DRIVE  
CRYSTAL PARK 1 ROOM 802  
ARLINGTON VA 22202

Fax Number:

703-305-8007

1. Retrieve only the requested sales slip from the information below (DO NOT SEND THE ENTIRE BATCH!).
2. Batch total slips are unacceptable.
3. A legible COPY must be received.
4. Return a COPY of the sales slip along with this LETTER by 06/03/03
5. Mail or fax requests using the address or fax number shown at the bottom of this page.

## RETRIEVAL INFORMATION:

Card Acct Nbr: 4500620129606010  
Card Type: VISA  
Trans Amount: 930.00  
Trans Date: 03/29/03  
Aeq Ref Num: 24301283093-03093-025475-3  
Microfilm Ref Nbr: 093/ 000006460  
Retrieval Req Id: 513143006692

Merchant Desc: US PATENT/TRADEMARK OF  
Merchant Nbr: 0381000800015  
Deposit Amt: 6,956,788.00  
Deposit Date: 04/02/03  
Chargeback Seq Nbr: N / A  
Chargeback Reason Code:

RETURN A COPY OF THE SALES SLIP, ALONG WITH THIS LETTER, BY 06/03/03

Thank you for your cooperation and prompt attention to this matter. Feel free to contact me at the number listed below if you require additional assistance.

## INTERNAL INFORMATION:

Site/Source: VISA EDC / 3818 U S PATENT OFFICE (VISA)  
Medium (Copy or Original): COPY  
Codes (Retrieval/Chargeback/Electronic/V): 0523R  
Prev. Date (Date of prior retrieval date, if applicable):  
HCS or MSD Indicator: MSD  
Fulfillment Indicator: E

## FULFILL TO: VISA RETRIEVAL

Merchant Operations Division  
P.O. Box 2615  
Pittsburgh, PA 15230-2615

Fax Number: 412-234-1017  
Phone Number: 800-832-3212

JUN 23 2003 14:44 FR MELLON DISPUTE AREA 412 234 1017 TO 917033058007 P.02/02

JUN 10 2003 09:45

7033085077

PAGE.03

## FAX COVER SHEET

**THOMAS E. MALYSZKO**  
**PATENT & TRADE MARK AGENT**

Bow Valley Square IV  
1500, 250 - 6th Ave. S.W.  
Calgary, Alberta, Canada T2P 3H7  
Tel. (403) 540-3204  
Fax. (403) 242-3851  
E-mail: Tom@PatentsCanada.com

<b>SEND TO / A L'ATTENTION DE</b> Company Name / Société	
U.S. Patent and Trademark Office	
Attention / A l'attention de	Date
FREDA CONNELLY - Refund Office	June 24, 2003
Fax Number / N° de fax	Phone Number / N° de tél.
(703) 308-5077	(703) 305-4229

☐ Urgent      ☐ Reply ASAP/  
Réponse urgente  
attendue      ☐ Please Comment/  
Commentaires  
attendus      ☐ Please Review/  
A vérifier      ☐ For your Information/  
Copie pour information

Total pages, including cover sheet:  
Nombre de pages (Page de garde incluse)

7

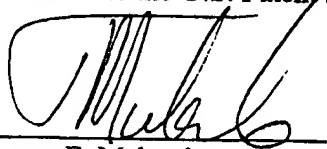
This fax is intended only for the addressee. It may contain privileged or confidential information. Any unauthorized disclosure is prohibited. If you have received this fax by mistake, please notify me immediately so that I may correct my transmission. Please then destroy this fax. Thank you for your cooperation.

## COMMENTS / COMMENTAIRES:

Re: Patent Application Serial no. 09 / 807,968  
Filed: July 10, 2001  
Applicant: Gullickson et al.

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the attached Request for Refund is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

  
Thomas E. Malyszko  
Registration No. 39,466

Date

June 24/03

